

**Appendix Table. Major Decisions in Leaders' Processes for ASEAN, ASEAN+3, and the East Asia Summit (EAS)**

<b>Month &amp; Year (Venue)</b>	<b>ASEAN</b>	<b>ASEAN+3</b>	<b>East Asia Summit (ASEAN+6)</b>
<b>August 1967 (Bangkok)</b>	<p><b><i>ASEAN Declaration (Bangkok Declaration)</i></b></p> <ul style="list-style-type: none"> <li>Foreign affairs ministers of the original five members signed the <i>ASEAN Declaration</i> to launch ASEAN</li> </ul>	—	—
<b>February 1976 (Bali)</b>	<p><b><i>Joint Communiqué, 1<sup>st</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>Signed the <i>Treaty of Amity and Cooperation in Southeast Asia (TAC)</i></li> <li>Signed the <i>Declaration of ASEAN Concord</i>, including the Agreement on the establishment of the ASEAN Secretariat</li> </ul>	—	—
<b>August 1977 (Kuala Lumpur)</b>	<p><b><i>Joint Communiqué, 2<sup>nd</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>Reaffirmed commitment to the ASEAN Declaration and the <i>Declaration of ASEAN Concord</i> as the basis for ASEAN cooperation</li> <li>Noted the ratification of the Agreement on ASEAN <i>Preferential Trading Arrangements (PTA)</i> signed in February 1977 as an overall framework for expanding intra-ASEAN trade</li> <li>Commended the ASEAN central banks and monetary authorities for the establishment of an <i>ASEAN Swap Arrangement</i></li> </ul>	—	—
<b>December 1987 (Manila)</b>	<p><b><i>Joint Communiqué, 3<sup>rd</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>Issued the <i>Manila Declaration of 1987</i></li> <li>Noted the implementation of the Programme of Action embodied in the <i>Declaration of ASEAN Concord</i> and agreed on new initiatives to advance ASEAN cooperation</li> <li>Noted the ASEAN membership of Brunei Darussalam since 1984</li> <li>Agreed to meet every three to five years, if necessary</li> </ul>	—	—
<b>January 1992 (Singapore)</b>	<p><b><i>Singapore Declaration of 1992, 4<sup>th</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>Welcomed accession by all countries in Southeast Asia to the TAC</li> <li>Agreed to establish the <i>ASEAN Free Trade Area (AFTA)</i> using the <i>Common Effective Preferential Tariff (CEPT)</i> Scheme as the main mechanism within 15 years beginning January 1993 with the ultimate effective tariffs ranging from 0% to 5%</li> <li>Recognized the importance of strengthening cooperation with other countries, regional/multilateral economic organizations, as well as Asia-Pacific Economic Cooperation (APEC) and an East Asia Economic Caucus (EAEC)</li> </ul>	—	—
<b>December 1995 (Bangkok)</b>	<p><b><i>Closing Statement by Prime Minister of Thailand, 5<sup>th</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>Admitted Viet Nam as the 7<sup>th</sup> member of ASEAN</li> <li>Signed the <i>Bangkok Summit Declaration</i> to set the direction and pace for ASEAN cooperation</li> <li>Signed the <i>ASEAN Framework Agreement on Services (AFAS)</i></li> </ul>	—	—

<p><b>November 1996 (Jakarta)</b></p>	<p><b>Press Statement of 1<sup>st</sup> Informal Summit</b></p> <ul style="list-style-type: none"> <li>Requested the ministers to develop an ASEAN Vision toward 2020 and submit their report to the 2<sup>nd</sup> Informal Summit</li> </ul>	<p>—</p>	<p>—</p>
<p><b>December 1997 (Kuala Lumpur)</b></p>	<p><b>Press Statement of 2<sup>nd</sup> Informal Summit</b></p> <ul style="list-style-type: none"> <li>Welcomed Laos and Myanmar as new members</li> <li>Adopted the <i>ASEAN Vision 2020</i> to reflect the way forward for ASEAN in the 21<sup>st</sup> century</li> <li>Directed officials to study possible establishment of appropriate ASEAN payments arrangements</li> </ul>	<p><b>Japan's Unofficial Summary of 1<sup>st</sup> Summit</b></p> <ul style="list-style-type: none"> <li>Exchanged views on the region's challenges and future, centering on the financial issues</li> <li>Confirmed that the Manila Framework will be the major forum for policy dialogue</li> </ul>	<p>—</p>
<p><b>December 1998 (Hanoi)</b></p>	<p><b>Closing Remark by Prime Minister of Viet Nam, 6<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>Signed the Hanoi Declaration, the <i>Hanoi Plan of Action (HPA)</i>, and the Statement on Bold Measures</li> <li>Admitted Cambodia as the 10<sup>th</sup> member of ASEAN</li> <li>Adopted the <i>HPA</i>, a 6-year plan covering the period 1999-2004, as the first plan of action for the realization of the long-term vision to promote: macroeconomic and financial cooperation; economic integration (AFTA, AIA, AFAS, etc); science and technology and IT infrastructure; social development; and human resource development</li> <li>Agreed the six original signatories to the <i>AFTA CEPT Scheme</i> to advance the implementation of AFTA by one year from 2003 to 2002</li> <li>Under the Framework Agreement on the <i>ASEAN Investment Area (AIA)</i> signed in October 1998, national treatment will be made fully available within six months for ASEAN investors in manufacturing, subject to certain exclusions, which will be progressively phased out by the year 2003 instead of waiting for 2010 as initially agreed</li> </ul>	<p><b>Japan's Unofficial Summary of 2<sup>nd</sup> Summit</b></p> <ul style="list-style-type: none"> <li>Exchanged views on cooperative measures for economic recovery</li> <li>Expressed strong expectations of Japan's economic recovery and its contribution to the region's growth</li> <li>Expressed sincere gratitude to Japan's financial assistance under the <i>New Miyazawa Initiative</i></li> <li>Agreed that the ASEAN+3 deputy finance ministers and deputy central bank governors should meet to discuss financial and macroeconomic matters of concern to the region</li> <li>Welcomed the Korean proposal to set up an East Asia Vision Group to consider measures to overcome economic crisis and to enhance economic cooperation</li> <li>Agreed to hold the ASEAN+3 Summit annually</li> </ul>	<p>—</p>

<p><b>November 1999 (Manila)</b></p>	<p><b><i>Chairman’s Press Statement of 3<sup>rd</sup> Informal Summit</i></b></p> <ul style="list-style-type: none"> <li>• Committed to eliminate all import duties by 2010, ahead of the original schedule, for the first six members, and agreed in principle to advance the schedule from 2018 to 2015 for the new members, but allowing some sensitive products to follow the original date of 2018</li> <li>• Pleased to note that the <i>Framework Agreement on the AIA</i> has entered into force</li> <li>• Welcomed the developments in the implementation of various finance programmes listed in the <i>HPA</i> and recognized the launching of the <i>ASEAN Surveillance Process</i> in March 1999 as a major milestone in ASEAN financial and monetary cooperation</li> <li>• Pleased with progress in the implementation of ASEAN’s Social Safety Net Programmes</li> <li>• Noted the convening of the Eminent Persons Group in June 1999 and welcomed its discussions</li> </ul>	<p><b><i>Japan’s Unofficial Summary of 3<sup>rd</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>• Exchanged views on regional economic cooperation by drawing lessons from the currency and economic crisis</li> <li>• Adopted the <i>Joint Statement on East Asia Cooperation</i>, where leaders underscored their commitment to build upon existing consultative and cooperative processes in various fields and in various areas: economic cooperation; monetary and financial cooperation; social and human resource development; scientific and technical development; culture and information; development cooperation; political-security area; and transnational issues</li> </ul>	<p>—</p>
<p><b>November 2000 (Singapore)</b></p>	<p><b><i>Chairman’s Press Statement of 4<sup>th</sup> Informal Summit</i></b></p> <ul style="list-style-type: none"> <li>• Agreed to launch an <i>Initiative for ASEAN Integration (IAI)</i> to narrow the divide within ASEAN and enhance ASEAN’s competitiveness as a region</li> <li>• Stressed the importance of pushing ahead with existing initiatives such as <i>AFTA</i> and <i>AIA</i></li> </ul>	<p><b><i>Japan’s Unofficial Summary of 4<sup>th</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>• Exchanged views on East Asian cooperation and welcomed progress on the Chiang Mai Initiative</li> <li>• Supported the proposal by the Korean President for creating an <i>East Asia Study Group (EASG)</i></li> <li>• Considered the possibility of holding an East Asia Summit to further enhance regional cooperation</li> </ul>	<p>—</p>
<p><b>November 2001 (Bander Seri Begawan)</b></p>	<p><b><i>Chairman’s Press Statement of 7<sup>th</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>• Endorsed the Mid-term Review of the <i>HPA</i></li> <li>• Welcomed economic ministers’ decision in September 2001 to commission an ASEAN Competitiveness Study</li> <li>• Agreed on the need for a <i>Roadmap for Integration of ASEAN (RIA)</i> under the <i>ASEAN Vision 2020</i>, charting milestones—specific steps and timetables</li> <li>• Encouraged the private sector to convene a regular ASEAN Business Summit and to set up an <i>ASEAN Business Advisory Council</i></li> <li>• Agreed to implement an ASEAN Integration System of Preferences for the four newer members</li> </ul>	<p><b><i>Chairman’s Press Statement of 5<sup>th</sup> Summit</i></b></p> <ul style="list-style-type: none"> <li>• Considered the Report of the <i>East Asia Vision Group</i>, which contains key proposals and concrete measures to broaden East Asia cooperation</li> <li>• Looked forward to the Final Report by the <i>EASG</i> set up in 2000 to assess the Vision Group’s Report proposals</li> </ul>	<p>—</p>

<p><b>November 2002 (Phnom Penh)</b></p>	<p><b>Chairperson's Press Statement of 8<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Reaffirmed commitment to strengthening solidarity and accelerating the implementation of the <i>IAI</i></li> <li>• Considered the idea of an <i>ASEAN Economic Community</i> as an end goal for the <i>RIA</i> and the <i>ASEAN Vision 2020</i></li> <li>• Commended an interim report of an ASEAN Competitiveness Study by McKinsey &amp; Company</li> </ul>	<p><b>Chairperson's Press Statement of 6<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Received the EASG Final Report, which identified 17 concrete short-term measures and 9 medium- to long-term measures for East Asian cooperation</li> <li>• Agreed with Korea's vision for ASEAN+3 summits to evolve in the long term into East Asian summits and eventually an East Asian Free Trade Area</li> </ul>	<p>—</p>
<p><b>October 2003 (Bali)</b></p>	<p><b>Chairperson's Press Statement of 9<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Adopted the <i>Declaration of ASEAN Concord II (Bali Concord II)</i> to achieve an <i>ASEAN Community</i> by the year 2020, with the three pillars of ASEAN Security Community, <i>ASEAN Economic Community (AEC)</i>, and ASEAN Socio-cultural Community</li> <li>• Exchanged views on the possibility of advancing the end date of the AEC and the idea of adopting a "2+x" approach</li> <li>• Adopted a progressive approach as outlined in the <i>Recommendations of the High-Level Task Force on ASEAN Economic Integration</i></li> </ul>	<p><b>Chairperson's Press Statement of 7<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Endorsed the Implementation Strategy of the Short-term Measures of the <i>EASG</i> Final Report</li> <li>• Explored some new ideas such as to study the feasibility of an <i>East Asia Free Trade Area (EAFTA)</i></li> </ul>	<p>—</p>
<p><b>November 2004 (Vientiane)</b></p>	<p><b>Chairman's Statement of 10<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Signed the <i>Vientiane Action Programme (VAP)</i>, a 6-year plan, as the successor of the <i>HPA</i> to realize the end goal of the <i>ASEAN Vision 2020</i> and the <i>Declaration of ASEAN Concord II</i></li> <li>• Signed the <i>ASEAN Framework Agreement for the Integration of Priority Sectors</i> to further deepen regional economic integration</li> <li>• Agreed to establish an ASEAN Development Fund</li> <li>• Directed ministers, senior officials, and the ASEAN Secretariat to continue the work of developing an <i>ASEAN Charter</i> and to review ASEAN mechanisms with a view to make them more effective</li> </ul>	<p><b>Chairman's Statement of 8<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Encouraged a speedy implementation of the short- and long-term measures of the <i>EASG</i> Final Report</li> <li>• Welcomed the decision by economic ministers to set up an expert group to conduct its feasibility study</li> <li>• Supported ASEAN leaders' decision to convene the first <i>East Asia Summit</i> in 2005</li> <li>• Agreed that the establishment of an <i>East Asian Community</i> is a long-term objective</li> <li>• Reaffirmed the role of ASEAN+3 as the main vehicle for the eventual establishment of an <i>East Asian Community</i> and ASEAN as the major driving force in East Asia cooperation</li> </ul>	<p>—</p>

<p><b>December 2005 (Kuala Lumpur)</b></p>	<p><b>Chairman's Statement of 11<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Signed the Kuala Lumpur Declaration on the Establishment of the ASEAN Charter</li> <li>• Agreed to establish an Eminent Persons Group (EPG) from ASEAN member countries with a mandate to examine and provide practical recommendations on the directions for ASEAN and nature of the <i>ASEAN Charter</i></li> <li>• Discussed the possibility of advancing the target date for the realization of the <i>ASEAN Community</i> from 2020 to 2015</li> </ul>	<p><b>Chairman's Statement of 9<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Signed the <i>Kuala Lumpur Declaration on the ASEAN+3 Summit</i> to reaffirm commitment to ASEAN+3 cooperation</li> <li>• Agreed to take stock of ASEAN+3 cooperation since 1997 and issue a second Joint Statement on East Asia Cooperation and Work Plan in 2007</li> <li>• Agreed to accelerate the implementation of all the short-term measures by 2007 as well as to expedite the carrying out of the medium- and long-term measures of the EASG Final Report</li> </ul>	<p><b>Chairman's Statement of 1<sup>st</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Signed the <i>Kuala Lumpur Declaration on the East Asia Summit</i> that outlined its principles and purposes, areas of cooperation, and primary modalities</li> <li>• Established the EAS as a forum for dialogue on broad strategic, political, and economic issues of common interest and concern with the aim of promoting peace, stability, and economic prosperity in East Asia</li> <li>• EAS is an integral part of the overall evolving regional architecture</li> </ul>
<p><b>January 2007 (Cebu)</b></p>	<p><b>Chairperson's Statement of 12<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Endorsed the Report of the EPG on the <i>ASEAN Charter</i></li> <li>• Directed the High-level Task Force to complete the drafting of the ASEAN Charter in time for the 13<sup>th</sup> Summit</li> <li>• Adopted the <i>Cebu Declaration on the Acceleration of the Establishment of an ASEAN Community by 2015</i></li> </ul>	<p><b>Chairman's Statement of 10<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Welcomed the <i>EAFTA</i> as a fruitful avenue of integration</li> <li>• Welcomed the outcome of the feasibility study by the Expert Group on the EAFTA, led by PRC</li> <li>• Welcomed Japan's proposal to set up an Economic Research Institute for ASEAN and East Asia (ERIA)</li> <li>• Reiterated that ASEAN+3 is an essential part of the evolving regional architecture</li> </ul>	<p><b>Chairman's Statement of 2<sup>nd</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Identified five priority areas of EAS cooperation: energy, education, finance, avian influenza, and natural disaster mitigation</li> <li>• Signed the <i>Cebu Declaration on East Asian Energy Security</i></li> <li>• Agreed to launch a track-2 study on a <i>Comprehensive Economic Partnership in East Asia (CEPEA)</i> among EAS participants</li> </ul>
<p><b>November 2007 (Singapore)</b></p>	<p><b>Chairman's Statement of 13<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Celebrated the signing of the <i>ASEAN Charter</i></li> <li>• Pledged to deepen cooperation through the ASEAN Declaration on Environmental Sustainability</li> <li>• Signed the <i>Declaration on the ASEAN Economic Community (AEC) Blueprint</i> and adopted of the <i>AEC Blueprint</i></li> <li>• Tasked ministers and officials to draft a Blueprint for the ASEAN Political Security Community (APSC), to be adopted at the 14th ASEAN Summit.</li> <li>• Tasked ministers and officials to draft a Blueprint for the ASEAN Socio-Cultural Community (ASCC), to be adopted at the 14th ASEAN Summit</li> </ul>	<p><b>Chairman's Statement of 11<sup>th</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Adopted the <i>Second Joint Statement on East Asia Cooperation</i></li> <li>• Reaffirmed that ASEAN+3 will remain as the main vehicle for the long-term goal of building an <i>East Asian Community</i>, with ASEAN as the driving force</li> <li>• Future scope of ASEAN+3 cooperation includes: political and security; economic and financial; energy, environment, climate change, and sustainable development; and socio-cultural and development</li> <li>• Agreed to implement priority activities and flagship projects as indicated in the <i>ASEAN+3 Cooperation Work Plan, 2007–2017</i></li> </ul>	<p><b>Chairman's Statement of 3<sup>rd</sup> Summit</b></p> <ul style="list-style-type: none"> <li>• Signed the Singapore Declaration on Climate Change, Energy and the Environment</li> <li>• Welcomed the progress report of the study on a <i>CEPEA</i></li> <li>• Welcomed closer cooperation among EAS participating countries</li> </ul>

Note: No official statement for the ASEAN+3 Summit is available for the years 1997–2000, and the Japanese government's unofficial summary has been used.

Source: ASEAN Secretariat, <http://www.aseansec.org/4933.htm>; Japanese Ministry of Foreign Affairs, <http://www.mofa.go.jp/mofaj/area/asean/asean+3/syunou.html>.